P. 01 RECEIVED CENTRAL FAX CENTER

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3AKER BOTTS LLP

ax receipt will not be confirmed by phone unless requested.

2001 ROSS AVENUE DALLAS, TEXAS 75201-2980 214.953.6500 FAX 214.953.6503 www.bokerbotte.com AUSTIN AUG 2 9 2005
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WASHINGTON

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	Detail S. Wa	ng, Director of	Technology	Center 2123	FAX NO.	571-273-8300
<u> </u>					VOICE NO	o. <u>571-273-2100</u>
M/COMP	ANY U.S. Patent	and Trademari	COnice		,	
	Anthony T. Smit	h. Paralegal	VOICE NO.	214.953.6713	DATE	August 29, 2005
MOS			FAX NO.	214.661.4713	PAGES (in	actuding cover) 18
ETURN TO	Anthony T. Smi	III, FALAICEAI	13-01.13-1			
AESSA(GE n re Application of	: Kathryn A.	Howard		·	
S	n re Application of erial No.: Titled: Title:	08/920,433 August 29, Method an	1997 d System for	Providing Access of a Community 14208.1183	Privileges	·
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f	you do not receive all pa	ges, please call: 2	4.953.6566 or 2	14.953.6740 for assistance		
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PATENT AUG 2 9 2005 08/920,433

1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Kathryn A. Howard

Serial No.:

014208.1183

08/920,433

Filing Date:

August 29, 1997

Examiner:

William D. Thomson

Art Unit:

2123

Confirmation No.:

8464

Title:

METHOD AND SYSTEM OF PROVIDING ACCESS

PRIVILEGES TO RECORDS OF MEMBERS OF A

COMMUNITY

<u>VIA FACSIMILE:</u>

571-273-8300

Attn: Peter S. Wong Director Technology Center 2123 P.O. Box 1450 Alexandria, Virginia 22313-1450

Dear Sir:

<u>NON-FEE PETITION UNDER 37 C.F.R. § 1.181 TO WITHDRAW</u> HOLDING OF ABANDONMENT AND RE-MAIL NOTICE OF ALLOWANCE

This petition is filed in response to notification by the U.S. Patent and Trademark Office that the above-referenced patent application has been abandoned for failure to file a timely and proper reply to the Notice of Allowance and Issue Fee Due mailed March 28, 2005 which set a three month period for reply. This Petition under 37 C.F.R. § 1.181 is to request that the holding of abandonment be withdrawn. As indicated in the attached statement of facts, Applicant never received the Notice of Allowance, and therefore, did not respond by the due date of June 28, 2005. Accordingly, the holding of abandonment is improper pursuant to M.P.E.P. § 711.03(c)II. The required showing to establish nonreceipt pursuant to M.P.E.P. § 711.03(c)II is provided in the following documents:

BEST AVAILABLE COPY ATTORNEY DOCKET NO. 014208.1183

PATENT 08/920,433

2

- Counsel's Statement of Facts in Support of Petition to Withdraw Holding of Abandonment.
- Copy of Notice of Abandonment mailed on August 16, 2005. 2.
- Copy of the File History Wrapper for Serial No. 08/920,433. 3.
- Copy of the docket record where the nonreceived Notice of Allowance would 4. have been entered had it been received and docketed.

As the abandonment of this case was through no fault of Applicant, it is respectfully requested that a new Notice of Allowance be mailed and the date for response to be three months from the mail date of the new Notice of Allowance, pursuant to M.P.E.P. § 711.03(c)II. It is not believed that any fees are due. However, if it is determined that a petition fee or any additional fees are due, please charge these fees to Baker Botts L.L.P. Deposit Account No. 02-0384.

Respectfully submitted, BAKER BOTTS L.L.P.

Attorneys for Applicant

David G. Wille Reg. No. 38,363

8 |29 105 Date:

CORRESPONDENCE ADDRESS: CUSTOMER NO. 05073

2001 Ross Avenue, Suite 600 Dallas, Texas 75201 214.953.6595

TAB 1

BEISTPAGABLABILE (COP)

RECEIVED
PATERENTRAL FAX CENTER

Attorney's Docket: 014208.1183

BEST AVAILABLE COPY

08/920,433 AUG 2 9 2005

1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Kathryn A. Howard

Serial No.:

08/920,433

Filing Date:

August 29, 1997

Examiner:

William D. Thomson

Art Unit:

2123

Confirmation No.:

8464

Title:

METHOD AND SYSTEM OF PROVIDING ACCESS PRIVILEGES TO RECORDS OF MEMBERS OF A

COMMUNITY

<u>VIA FACSIMILE:</u>

571-273-8300

Attn: Peter S. Wong

Director

Technology Center 2123

P.O. Box 1450

Alexandria, Virginia 22313-1450

Dear Sir:

COUNSEL'S STATEMENT OF FACTS IN SUPPORT OF PETITION TO WITHDRAW HOLDING OF ABANDONMENT

I am a patent attorney employed with Baker Botts L.L.P. I am listed as an attorney of record for the above-identified pending U.S. patent application entitled *Method* and System of Providing Access Privileges to Records of Members of a Community filed on August 29, 1997, Application No. 08/920,433. My residence is Plano, Texas (a suburb of Dallas, Texas), and my place of employment is Dallas, Texas, and were so at all times relevant to the Statement of Facts. The following facts relate to the subject application:

Attorney's Docket: 014208.1183

PATENT 08/920,433

2

- 1. All mail from the PTO is received in a Baker Botts mailroom and routed to the IP mail clerk. She opens and date-stamps all mail, and forwards notices of allowance received from the PTO to the paralegal assigned to the case. She does not record a log of such received mail, and therefore no such log is provided pursuant to MPEP § 711.03(c)II.
- 2. It is then the responsibility of the paralegal to docket notices of allowance received from the PTO. When a Notice of Allowance is received, the response due date is docketed along with any other papers due. A letter to the client is then prepared, and the file is forwarded to the attorney of record for review and authorization to pay the issue fee.
- 3. According to the file history wrapper (Tab 3) for this application, the Notice of Allowance has not been docketed, and there is no due date for the payment of the Issue Fee. Also attached is a copy of the docket record where the nonreceived Notice of Allowance would have been entered had it been received and docketed (Tab 4). These documents indicate that the Notice of Allowance was not received from the PTO.
- 4. As an attorney of record for the above-identified pending U.S. patent application, I attest that the Notice of Allowance was not received from the PTO. Further, I attest that I have performed a search of the file jacket and all of its contents. The results of the search revealed that the Notice of Allowance was not in the file jacket. This further indicates that the Notice of Allowance was not received from the PTO.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application for any patent issuing thereon.

David G. Wille

in wille

TAB 2

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014208.1183



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE Usited States Patent and Trademark, Office Address: COMMISSIONER FOR PATENTS P.O. Box 1430, Alexandria, Viginia 23312-14430

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
08/920,433	08/29/1997	KATHRYN A. HOWARD	43-97-001	8464
75	90 08/16/2005		EXAM	NER
David G. Will	• •		THOMSON, V	WILLIAM D
Baker Botts L.L	P.		ART UNIT	PAPER NUMBER
2001 Ross Aver Suite 600	706		2123	30
Dallas, TX 75	201-2980		DATE MAILED: 08/16/2005	5

Please find below and/or attached an Office communication concerning this application or proceeding.

PTO-90C (Rev. 10/03)

	Application No.	Applicant(s)	
	08/920.433	KATHRYN A. H	OWARD
Notice of Abandonment	Examiner	Art Unit	JUANS
	THOMOON MULLIANA	2400	
The MAILING DATE of this communication a	THOMSON, WILLIAM		lelus as
- The MAILING DATE of this communication ap	ppears on the cover sneet w	iui uie correspondence ac	M/ess-
his application is abandoned in view of:			
 . ☐ Applicant's failure to timely file a proper reply to the Off (a) ☐ A reply was received on (with a Certificate of period for reply (including a total extension of time of time	f Mailing or Transmission date of month(s)) which exp	red on	
(b) A proposed reply was received on, but it does			
(A proper reply under 37 CFR 1.113 to a final reject application in condition for allowance; (2) a timely fit Continued Examination (RCE) in compliance with 3	led Notice of Appeal (with app 67 CFR 1.114).	eat fee); or (3) a timely filed	Request for
(c) ☐ A reply was received on but it does not cons final rejection. See 37 CFR 1.85(a) and 1.111. (See	stitute a proper reply, or a bons se explanation in box 7 below)	a fide attempt at a proper rep	oly, to the non-
(d) No reply has been received.	•		
 Applicant's fallure to timely pay the required issue fee from the mailing date of the Notice of Allowance (PTO) (a) The Issue fee and publication fee, if applicable, value is after the expiration of the statutory Allowance (PTOL-85). 	L-85). was received on (With	a Certificate of Mailing or T	ransmission dated
(b) The submitted fee of \$ is insufficient. A bala	ince of \$ is due.		
The issue fee required by 37 CFR 1.18 is \$. The publication fee, if regul	red by 37 CFR 1.18(d), is \$_	
(c) X The issue fee and publication fee, if applicable, has	s not been received.		
		o-month period set in the N	lotice of
3. Applicant's failure to timely file corrected drawings as a		*	
Allowability (PTO-37). (a) Proposed corrected drawings were received on after the expiration of the period for reply.	(with a Certificate of Mail	ng or Transmission dated), which is
(b) No corrected drawings have been received.			
4. The letter of express abandonment which is signed by the applicants.	and the second s		
5. The letter of express abandonment which is signed be 1.34(a)) upon the filing of a continuing application.			
6. The decision by the Board of Patent Appeals and Into of the decision has expired and there are no allowed	erference rendered on claims.	and because the penod for s	seeking count levies
7. The reason(s) below:			
		AG .	
Petitions to revive under 37 CFR 1.137(a) or (b), or requests to v	withdraw the holding of abandonm	ent under 37 CFR 1.181, should	be promptly filed to
minimize any nauxily butcom on potent			Part of Paper No. 0
U.S. Patent and Trademark Office PTOL-1432 (Rev. 04-01)	otice of Abandonment		LRICH Labor 140.

Attachment to Notice of Abandonment

For questions concerning the notice contact Office of Patent Publication Image Assistance Center: 888-786-0101.

Information is also available on the USPTO Internet web site: http://www.uspto.gov/web/patents/pubs/abandonnotice.html

Respond to the Notice of Abandonment by one of the following:

 Petition To Withdraw Holding of Abandonment (See MPEP 711.03(c) I and 37 CFR § 1.181) No fee required

Where an applicant contends that the application is not in fact abandoned (e.g., a reply was in fact filed), a petition under 37 CFR § 1.181(a) requesting withdrawal of the holding of abandonment is the appropriate course of action. Any petition under 37 CFR § 1.181 to withdraw the holding of abandonment not filed within 2 months of the mail date of a Notice of Abandonment may be dismissed as untimely under 37 CFR § 1.181(f). In order for a petition to be granted, the evidence must be sufficient according to 37 CFR § 1.8(b) Certificate of Mailing 37 CFR § 1.10 "Express Mail" mailing or MPEP 503 Postcard Receipt as Prima Facie Evidence. The petition should be addressed as follows:

By mail: Mail Stop: Issue Fee, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450 By facsimile: 703-872-9306

2. Petition To Withdraw Holding Of Abandonment Based On Failure To Receive Office Action (MPEP 711.03(c) II and 37 CFR § 1.181). No fee required

Where an applicant contends that the original Notice of Allowance and Fec(s) Due was never received, if adequately supported, the Office may grant the petition and remail the Office action. The showing required establishing non-receipt of an Office communication must include a statement from the practitioner stating that the Office communication was not received and attesting to the fact that a search of the file jacket and docket records indicates that the Office communication was not received. A copy of the docket record where the nonreceived Office would have been entered had it been received and docketed must be attached to and referenced in practitioner's statement.

Petition should be addressed to the Technology Center handling the application as follows:

By mail:

Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450

By facsimile: 703-872-9306

3. Petition To Revive An Abandoned Application (See MPEP 711.03(c) HI)

Where there is no dispute as to whether an application is abandoned (e.g., the applicant's contentions merely involve the cause of abandonment) a petition under 37 CFR § 1.137 (a) or (b) (accompanied by the appropriate petition fee) is necessary to revive the abandoned application. The text of these rules is available on the USPTO Internet Web site. Forms for these petitions, "Petition For Revival Of An Application For Patent Abandoned Unavoidably Under 37 CFR § 1.137(a)," PTO/SB/61, and "Petition For Revival Of An Application For Patent Abandoned Unintentionally Under 37 CFR 1.137(b)," PTO/SB/64, are available in the forms section of the USPTO website: http://www.uspto.gov.

Petitions under 37 CFR § 1.137 should be addressed to the Office of Petitions as follows:

By mail: Mail Stop Petition, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450

By facsimile: 703-872-9306

Note: Abandonment takes place by operation of law for failure to reply to an Office action or timely pay the issue fee, not by operation of the mailing of a Notice of Abandonment

TAB 3



Country Application

Friday, August 26, 2005

Page: 1

Client Matter Number: 014208.1183

Country: US

SubCase:

Client: ELECTRONIC DATA SYSTEMS CORPO United States of America

Client Reference No: 43-97-001

Case Type: ORD

Resp.Office: DL

Application Status: Appealed

Status Date:

Application Number: 08/920433

Filing Date: 29-Aug-1997

Publication Number:

Publication Date:

Patent Number:

Issue Date:

Parent/PCT Number:

Parent/PCT Date: 29-Aug-1997

Parent Issue Number:

Parent Issue Date :

Tax Schedule: LE

Expiration Date:

Confirmation #:

Patent Term Adjustment: 0

Agent:

Agent Reference #:

PTO Customer Number:

Priority Number:

Historical Family No.:

New Family Number:

Matter:

Claims: 15

Annuity Client?: No

Tax Start Date:

Remarks: ASSIGNMENT - 8785-0034-0036; ASSIGNMENT Date - 29-Aug-1997

ntry Application			Friday, August 26, 2005	Page:
<u>list Of Actions</u> Action(s) Due	Due Date		Action Taken	
CASE ASGD. ATTY TO WRITE	21-Apr-1997	Due Date	21-Apr-1997	
APPLICATION MAILED	29-Aug-1997	Due Date	29-Aug-1997	
FILE ASSIGNMENT	29-Aug-1997	Due Date	29-Aug-1997	
IDS NOT APPLICABLE	29-Aug-1997	Due Date	29-Aug-1997	
CLOSING MEMO	06-Jan-1998	Due Date	06-Jan-1998	
APPLICATION REJECTED	23-Mar-1999	Due Date	23-Mar-1999	
Formal Drawings Accepted	23-Mar-1999	Due Date	23-Mar-1999	
AMENDMENT	18-Jun-1999	Due Date	18-Jun-1999	
Rejection Mailed	27-Nov-2000	Due Date	27-Nov-2000	
3 Month Due Date	27-Feb-2001	Due Date	27-Feb-2001	
Change of Corresp. Address	27-Feb-2001	Due Date	27-Feb-2001	
Final Rejection	23-May-2001	Due Date	23-May-2001	
Ltr to client re Office Action	31-May-2001	Due Date	31-May-2001	
2 Month Amendment Due Date	23-Jul-2001	Due Date	24-Sep-2001	
1st Extension	23-Sep-2001	Reminder	24-Sep-2001	
Advisory Action	23-Oct-2001	Due Date	23-Oct-2001	
RCE (Req. Cont'd Exam)	13-Nov-2001	Due Date	13-Nov-2001	
Rejection Mailed	27-Feb-2002	Due Date	27-Feb-2002	-
Ltr to client re Office Action	05-Mar-2002	Due Date	05-Mar-2002	
	21-May-2002	Due Date	21-May-2002 rvn A. Howard. CAD	
Petition Filed by EDS to change inventor Ka	thryn A Rosentnars	Due Date	24-May-2002	
Notice of Appeal Filed	24-May-2002		2435-112003	
3 Month Due Date	27-May-2002		24.7.1.0002	
1 Month Reminder/Appeal Brief	24-Jun-2002	Reminder		
2 Month Appeal Brief Deadline	24-Jul-2002	Due Date	0000	
Petition Granted To change inventor's name from Ka	05-Aug-2002 thry A. Rosenthal to	Due Date Kathry A. He		

untry Application			Friday, August 26, 2005	Page: 3
Petition Follow-up/rec'd	21-Nov-2002	Due Date	05-Aug-2002	
Filed by EDS to change inventor Kathry	n A Rosenthal's da	me to Kathryi	A. Howard. CAD	
US-Appeal Status Check	24-Nov-2002	Due Date	07-Jan-2003	
Request for Status Filed	07-Jan-2003	Due Date	07-Jan-2003	
1 Month Action Mailed	29-May-2003	Due Date	29-May-2003	
Follow Up Request for Status	07-Jun-2003	Due Date	21-Jan-2003	
1 Month Due Date	29-Jun-2003	Due Date	29-Jul-2003	
Examiner's Interview Summary	09-Jul-2003	Due Date	09-Jul-2003	
1st Extension	29-Jul-2003	Reminder	29-Jul-2003	
Petition	29-Jul-2003	Due Date	29-Jul-2003	
Per telephone conversation with Ms. Jo- petition two weeks from 4/21/2004. (at	sie Ballato at (703))-308-0269, sł		
Potition Rollow-In/rec'd	05-May-2004	Due Date	04-Oct-2004	
Per telephone conversation with Ms. Jo petition two weeks from 4/21/2004. (at	sie Ballato at (703) ts))-308-0269, sl	he will examine the	
Petition Granted	04-Oct-2004	Due Date	04-Oct-2004	

Created By: CDONAHUE

User ID: CDONAHUE

Date Created: 28-Oct-1999

Last Update: 29-May-2002

TAB 4

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Due Date U.SIssue Notification 08151538	Due Date US-lasue Notification 087575.0104 08/515338 March 20rd Copies US 2728/2000 The Letters Patent/Soft Copies US 7/16/2003 CI		•	Granted
Parameter: Patent/Soft Copies US	Letters Patent/Soft Copies U.S. 2/128/2000 The Remarks: Propresed Issued Patent U.S. 7/18/2003 C.S. 1/14/2002	09/515338		EMEETING.NET, INC.
Due Data U.SPoorfread issued Patent U.S 7/18/2003 Aleahoof for Forming an Optical Printed Circuit Board Circui	Prodread Issued Patent 019843.0235 10620822 March			
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Pue Date US-Issue Notification Co66303.0114 101131121 System and Method for Interfacing Telephorary Voice Signals with a Broadband Ledners Patent/Soft Copies US 472372002 Telephorary Voice Signals with a Broadband Ledners Patent/Soft Copies US Ledners Patent/Soft Copies Ledners Patent/Patent/Soft Copies Ledners Patent/Pat	Remarks: Remarks: Remarks: Remarks: Due Date U.S. Issue Notification 066303.0114.1 10/131121 1 1 1 1 1 1 1 1 1			ECONN Culpatani
Due Date US-Issue Notification 1006303.0144 10/131121 System and Method for Interfacing 10	Due Date US-Issue Notification US 4/23/2002 A			
Due Date US-Issue Notification US 172002 Telephony Voice Signals with a Broadband Letters Patent/Soft Copies US 4722002 Access Network	Due Date US-Issue Notification US 4/23/2002 A	46M94494	ading	Granted
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Remarks: 029180.0103 07/861769 SELF-CONTAINED WEIGHING SYSTEM AND METHOD Final Tax 11.5 US 3/16/1992 AND METHOD Remarks: 062374.0103 08/611749 Primer Removal Tool Final Tax 7.5 US 3/8/1986 INDEX LLC) Casino/Lodeny/Sports Styled Wagges and Games for Parlimutuel ; Final Tax 3.6 US 3/12/1999 Operation ; Final Tax 3.6 US 3/12/1999 Operation	Remarks: 029180.0103 07/861769 S Final Tax 7.5 US 3/16/1992 I Remarks: 062374.0103 08/611749 I I Final Tax 7.5 US 3/8/1996 I Remarks: 075234.0115 08/267865 I Final Tax 3.5 US 3/12/1999 Tax 3.5 US 3/12/1999	5/17/2001		-
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